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**वैज्ञानिक तथा औद्योगिक अनुसंधान परिषद्**  
अनुसंधान भवन, 2, रफी मार्ग, नई दिल्ली - 110001

**COUNCIL OF SCIENTIFIC & INDUSTRIAL RESEARCH**

Anusandhan Bhawan, 2, Rafi Marg, New Delhi - 110001

संख्या

No.

प्रेषक

From

To

15-6(97)/2018-O&M

दिनांक : 15 फरवरी, 2018

मुख्य सतर्कता अधिकारी  
Chief Vigilance Officer

सीएसआईआर के सभी राष्ट्रीय प्रयोगशालाओं/संस्थानों के निदेशक  
The Directors/Heads of all National Labs. /Instts. of CSIR

**विषय : Review of Mechanisms to ensure Probity among Government servant regarding – reg.**

महोदय/महोदया/Sir/Madam,

उपरोक्त विषय पर भारत सरकार, कार्मिक मंत्रालय, लोक शिकायत और पेंशन (कार्मिक और प्रशिक्षण विभाग) द्वारा प्रेषित दिनांक 23.01.2019 के कार्यालय ज्ञापन संख्या 29062/6/2015-AIS-I-Pt.(5) तथा 15.01.2019 को संपन्न हुई मीटिंग के कार्यवृत्त की एक प्रति सूचना, आवश्यक कार्यवाही एवम सख्त अनुपालन हेतु अग्रेषित कर रहा हूँ। कृपया सभी संबंधित अधिकारियों/कर्मचारियों के संज्ञान में लाया जाए।

I am to forward herewith a copy of OM No. 29062/6/2015-AIS-I-Pt.(5) dated 23.01.2019 alongwith copy of the minutes of the meeting dated 15.01.2019 of Govt. of India, Ministry of Personnel, Public Grievances & Pensions (Department of Personnel & Training) on the subject cited above for information, necessary action and strict compliance. Please bring it to the notice of all concerned.

अगे, सभी संस्थान/प्रयोगशालाएं भारत सरकार, कार्मिक मंत्रालय, लोक शिकायत और पेंशन (कार्मिक और प्रशिक्षण विभाग) द्वारा जारी कार्यवृत्त का संज्ञान लें तथा कार्यवृत्त में Sl. No. 07 पर दिये गये दिशानिर्देशों का सख्ती से पालन करें।

Further, all Institutes/Laboratories should take conizance of the proceedings issued by DoPT and follow the directins /guidelines of proceedings at sl. No.7.

भवदीय,

(मेजर राणा विक्रम सिंह)  
मुख्य सतर्कता अधिकारी

संलग्न : उपरोक्त

प्रतिलिपि :

1. महानिदेशक, सीएसआईआर का कार्यालय
2. संयुक्तसचिव(प्रशा.), सीएसआईआर का कार्यालय
3. बितीय सलाहकार, सीएसआईआर का कार्यालय
4. विधि सलाहकार, सीएसआईआर का कार्यालय
5. सभी राष्ट्रीय प्रयोगशालाओं/संस्थानों के प्रशासन नियन्त्रक/प्रशा. अधिकारी
6. सीएसआईआर मुख्यालय/कॉम्प्लेक्स के उप-सचिव/वरिष्ठ- उप-सचिव
7. प्रमुख आईटी विभाग इस पत्र को सीएसआईआर वेबसाइट पर उपलब्ध करने हेतु।
8. वरिष्ठ भंडार एवम क्रय अधिकारी
9. सतर्कता अनुभाग, सीएसआईआर में कार्यरत सभी अनुभाग अधिकारी
8. कार्यालय प्रति

No. 29062/6/2015-AIS-I-Pt.(5)  
GOVERNMENT OF INDIA  
Ministry of Personnel, Public Grievances & Pensions  
(Department of Personnel & Training)  
(AVD-II)

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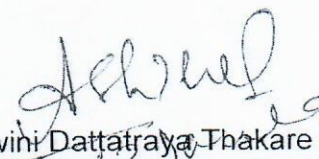
North Block, New Delhi  
Dated the 22<sup>nd</sup> January, 2019  
23<sup>rd</sup>

**OFFICE MEMORANDUM**

Subject: Review of Mechanisms to ensure Probity among Government servants.

The undersigned is directed to refer to meeting held on 15.01.2019 (Tuesday) at 03:00 PM in Room No. 190, North Block, New Delhi, under the Chairmanship of Additional Secretary (S&V), DOPT, on the issue of "Review of mechanisms to ensure Probity among Government servants" and to enclose herewith minutes of the meeting for information and necessary action.

Enclosed: As above.

  
( Ashwini Dattatraya Thakare )  
Deputy Secretary to the Govt. of India  
Tel: 011-23093180

To,

Secretaries/ Chairpersons of cadre controlling authorities/ Ministries/ Departments  
Of the Government of India (as per list at Annexure-1)

Copy to:

1. Technical Director, NIC-DoPT.
2. PS to AS (S&V).



F. No. 29062/6/2015-AIS-I-Part(5)  
GOVERNMENT OF INDIA  
Ministry of Personnel, Public Grievances & Pensions  
Department of Personnel & Training  
(AVD-II Division)

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Minutes of the meeting held under the Chairmanship of Additional Secretary (S&V) on 15.01.2019 (Tuesday) at 03:00 PM hrs in Conference Hall, Room no. 190, North Block, New Delhi, to review status of the probity related information.

1. Additional Secretary (S&V) chaired a meeting with representatives of Cadre Controlling Authorities (CCAs)/ Ministries/ Departments on 15.01.2019 to review the action taken/ progress made by cadre controlling authorities/ Ministries/ Departments on the observations/ recommendations of CoS.
2. List of Participants is at Annexure-2. There was no representative from the O/o Comptroller and Auditor General.
3. At the outset, all representatives were informed about the directions/ recommendations of the CoS during their last meeting held on 01.11.2018 detailed as under for strict compliance:
  - (i) *Ministries/Departments may ensure that special exercise is undertaken to complete the review of cases under FR 56 (j) and similar provisions.*
  - (ii) *Ministries/Departments may review and take action in respect of pending Disciplinary Proceedings and sensitive posts occupied by personnel beyond 03 years. Similar action may be taken in respect of various organisations under the Ministries/Departments. RTP may be implemented by all CCAs.*
  - (iii) *Ministries/Departments may ensure strict compliance of the timelines provided for completion of disciplinary proceedings.*
  - (iv) *Respective CCAs for All India Services officers may ensure that the review of officers is done by all the States and report is submitted timely.*
  - (v) *Pending Prosecution Sanctions may be disposed of expeditiously by all Ministries/Departments as per the amended provisions of PC Act, 1988.*
4. It was also informed that with a view to ensure security and easier access through VPN, NIC-DoPT has proposed to shift the Probity portal to a new NIC server due to which the current URL (<http://10.21.8.4/probitynew>) will change. Technical Director, NIC-DoPT, clarified to participating officers that after logging on to Probity portal at the current URL, all existing users (except those accessing through VPN) would simply be redirected to the new URL and the user data would also be carried forward intact to the new URL. It was informed to all that instructions in this regard will be issued by DoPT separately.



5. The status of Probity related information furnished by CCAs/ Ministries/ Departments and the progress made by them are as follow:-

Sl. No.	Cadre Controlling Authority/ Ministry/ Department	Remarks
1	M/o Home Affairs	November 2018 data entered in Probity portal. It was informed by the representative that 4787 Group A officers out of 5177 due for review have been reviewed and 6663 Group B officers out of 7313 due for review have been reviewed. There are 65 posts being occupied beyond 3 years and 181 disciplinary proceedings pending in the Ministry, which have to be looked into on priority basis. It was also observed that there is a need to approach the States for completing review of IPS officers.
2	M/o Railways	November 2018 data entered in Probity portal. It was informed by the representative that there are over 12,000 Group A and Group B officers in the Ministry, and out of these, 2685 Group A and 1502 Group B officers were due for review. It was further informed that review has been carried out among all but 1 Group A and 1 Group B officers due to be reviewed with provision of FR 56(j) invoked against 4 Group A officers. There are 204 disciplinary proceedings pending, which have to be expedited to ensure timely completion.
3	M/o Defence	December 2018 data entered in Probity portal. While the total strength of officers in the Ministry is not available, there are 1183 Group A and 5260 Group B officers due for review, out of which 374 Group A and 3322 Group B officers have been reviewed. It was observed that there is a huge gap in 'number of officers to be reviewed' and 'number of officers reviewed'. The number of posts occupied beyond 3 years is also high at 48.
4	D/o Defence Production	November 2018 data entered in Probity portal. It was informed by the representative that review data needs to be rectified in Probity portal due to duplication error. The revised figures for officers against whom FR 56(j) has been invoked are 14 Group A and 8 Group B officers against previous figures at 15 Group A and 24 Group B officers.
5	D/o Financial Services	November 2018 data entered in Probity portal. It was informed by the representative that data needs to be rectified in Probity portal due to duplication error. There were 02 Group A officers due for review and both have



		<p>already been reviewed and found fit. 29 Group B officers due for review are yet to be reviewed by the Department.</p> <p>In respect of Public Sector Banks (PSBs), it was informed that there are 22 PSBs and 12 of them have individually entered data in Probity portal. The Department was also informed by NIC-DoPT that by logging on to Probity portal using the user ID "dept_psb" along with the associated password, the data entered by each individual PSB can be seen under the tab "Reports". Variance in data earlier entered by the D/o Financial Services for all PSBs and data entered by individual PSBs is required to be checked. Also, the number of pending disciplinary cases is very high at 1453.</p>
6	D/o Public Enterprises	<p>November 2018 data entered in Probity portal. It was informed by the Department that there were 02 Group A and 6 Group B officers to be reviewed, and their review has been already carried out.</p> <p>In respect of Central Public Sector Enterprises (CPSEs), It was informed by the representative that review data needs to be rectified in Probity portal due to duplication error. It was also informed that details of disciplinary cases could not be entered in Probity portal due to incompatibility of the format applicable to CPSEs with the format laid out in Probity portal. It was observed that there are 319 pending prosecution sanction cases and 6067 sensitive posts are being occupied for more than 3 years out of a total of 34329 sensitive posts.</p>
7	D/o Science and Technology	<p>November 2018 data entered in Probity portal. It was informed by the representative that data entered by the Department pertain to D/o Science &amp; Technology, Survey of India, and NATMO. There are 124 Group A and 363 Group B officers due for review, out of which 102 Group A and 208 Group B officers have been reviewed. It was also observed that there are 2 disciplinary cases pending and 9 sensitive posts being occupied beyond 3 years in the Department. 25 autonomous organisations under the Department have separately entered data in Probity portal.</p>



8	M/o Environment, Forest and Climate Change	November 2018 data entered in Probity portal. It was informed by the representative that there are 2 prosecution sanctions and 15 major disciplinary cases pending in the Ministry. It was also observed that there is a need to approach the States for completing review of IFoS officers. 1 autonomous organisation under the Ministry has separately entered data in Probity portal.
9	M/o Housing and Urban Affairs	November 2018 data entered in Probity portal. It was observed that there is a wide gap of over 2000 between number of Group B officers due for review and number of Group B officers actually reviewed. There are 10 prosecution sanction and 76 disciplinary cases pending in the Ministry. Out of 8659 sensitive posts, 60 of them are being occupied beyond 3 years. 9 autonomous organisations under the Ministry have separately entered data in Probity portal.
10	M/o Power	November 2018 data entered in Probity portal. It was informed by the representative that review has been completed for all 138 Group A officers who due for review. As for Group B officers, 94 of them are yet to be reviewed. It was informed that significant number of reviews would be updated in December 2018 data. There are 7 sensitive posts being occupied beyond 3 years in the Ministry. 6 autonomous organisations under the Ministry have separately entered data in Probity portal.
11	M/o Shipping	December 2018 data entered in Probity portal. It was informed by the representative that 41 Group A officers have been reviewed out of 53 to be reviewed, and 181 Group B officers out of 192 due for review. It was also observed that 16 out of 143 sensitive posts identified in the Ministry are being occupied beyond 3 years. Also, 1 autonomous organisation under the Ministry has separately entered data in Probity portal.
12	Central Board of Direct Taxes	November 2018 data entered in Probity portal. It was informed by the representative that 277 Group A officers out of 487 due for review have been reviewed, and 2755 Group B officers out of 2817 due for review have been reviewed. It was observed that the number of pending disciplinary cases is very high at 184.



13	Central Board of Indirect Taxes and Customs	December 2018 data entered in Probity portal. It was observed that there is a wide gap of 6823 between number of Group B officers due for review and number of Group B officers actually reviewed. There are 6 prosecution sanction and 204 disciplinary cases pending in the Ministry. Out of the 204 disciplinary cases, 4 cases were disposed of and 4 new cases initiated during the previous month.
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6. It was decided during the meeting that since there was a greater variance in data furnished in Probity portal especially by Department of Financial Services, Department of Public Enterprises and Department of Defence Production, these Departments are being allowed to carry out modification/ rectification by approaching DoPT/NIC with complete details for which rectification is required.

7. Additional Secretary (S&V) reminded that every CCA/ Ministry/ Department should take the review activity with utmost sensitivity while observing that more efforts are needed to ensure adherence to the timelines prescribed for prosecution sanction as well as disciplinary proceedings. Extensions, if so applicable, are to be processed by strictly following laid down rules. An official occupying a sensitive post for more than 3 years must be transferred out of that post positively. Additional Secretary (S&V) also desired that data furnished by CCAs are duly authenticated prior to entry in Probity portal, so as to avoid correction/ revision later on. He emphasized the importance of the entire exercise being undertaken by all CCAs and that queries on details of this exercise have become a routine question during each Parliament session. This has further necessitated that data put together by CCAs in the Probity system is consistent and robust, free from frequent alteration and backtracking. He desired that CCAs keep up the effort and continue to undertake this exercise in a consistent manner.

8. The meeting ended with a vote of thanks to and from the Chair.

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## ANNEXURE-1

Sl. No.	Cadre Controlling Authority/ Ministry / Department
1	CGDA, O/o Controller General of Defence Accounts
2	Chairman, Central Board of Direct Taxes
3	Chairman, Central Board of Indirect Taxes and Customs
4	Chairman, Railway Board, Ministry of Railways
5	Chairman, Staff Selection Commission
6	Director, Central Bureau of Investigation
7	Dy. CAG (HR), O/o CAG of India
8	Secretary, Department of Administrative Reforms and Public Grievances
9	Secretary, Department of Agricultural Research and Education
10	Secretary, Department of Agriculture, Cooperation and Farmers' Welfare
11	Secretary, Department of Animal Husbandry, Dairying and Fisheries
12	Secretary, Department of Atomic Energy
13	Secretary, Department of Biotechnology
14	Secretary, Department of Chemicals and Petrochemicals
15	Secretary, Department of Commerce
16	Secretary, Department of Consumer Affairs
17	Secretary, Department of Defence
18	Secretary, Department of Defence Production
19	Secretary, Department of Economic Affairs
20	Secretary, Department of Empowerment of Persons with Disabilities
21	Secretary, Department of Expenditure
22	Secretary, Department of Fertilisers
23	Secretary, Department of Financial Services
24	Secretary, Department of Food & Public Distribution
25	Secretary, Department of Health and Family Welfare
26	Secretary, Department of Health Research
27	Secretary, Department of Heavy Industry
28	Secretary, Department of Higher Education
29	Secretary, Department of Industrial Policy & Promotion
30	Secretary, Department of Investment and Public Asset Management
31	Secretary, Department of Land Resources
32	Secretary, Department of Legal Affairs
33	Secretary, Department of Official Language
34	Secretary, Department of Pensions and Pensioners Welfare
35	Secretary, Department of Pharmaceuticals
36	Secretary, Department of Posts
37	Secretary, Department of Public Enterprises
38	Secretary, Department of Revenue
39	Secretary, Department of Rural Development
40	Secretary, Department of School Education and Literacy
41	Secretary, Department of Science and Technology
42	Secretary, Department of Scientific & Industrial Research



Sl. No.	Cadre Controlling Authority/ Ministry / Department
43	Secretary, Department of Social Justice and Empowerment
44	Secretary, Department of Space
45	Secretary, Department of Sports
46	Secretary, Department of Telecommunications
47	Secretary, Department of Youth Affairs
48	Secretary, Legislative Department
49	Secretary, Ministry of AYUSH
50	Secretary, Ministry of Civil Aviation
51	Secretary, Ministry of Coal
52	Secretary, Ministry of Corporate Affairs
53	Secretary, Ministry of Culture
54	Secretary, Ministry of Development of North Eastern Region
55	Secretary, Ministry of Drinking Water & Sanitation
56	Secretary, Ministry of Earth Sciences
57	Secretary, Ministry of Electronics and Information Technology
58	Secretary, Ministry of Environment, Forest and Climate Change
59	Secretary, Ministry of External Affairs
60	Secretary, Ministry of Food Processing Industries
61	Secretary, Ministry of Home Affairs
62	Secretary, Ministry of Housing and Urban Affairs
63	Secretary, Ministry of Information and Broadcasting
64	Secretary, Ministry of Labour and Employment
65	Secretary, Ministry of Micro, Small and Medium Enterprises
66	Secretary, Ministry of Mines
67	Secretary, Ministry of Minority Affairs
68	Secretary, Ministry of New and Renewable Energy
69	Secretary, Ministry of Panchayati Raj
70	Secretary, Ministry of Parliamentary Affairs
71	Secretary, Ministry of Petroleum and Natural Gas
72	Secretary, Ministry of Power
73	Secretary, Ministry of Road Transport and Highways
74	Secretary, Ministry of Shipping
75	Secretary, Ministry of Skill Development & Entrepreneurship
76	Secretary, Ministry of Statistics and Programme Implementation
77	Secretary, Ministry of Steel
78	Secretary, Ministry of Textiles
79	Secretary, Ministry of Tourism
80	Secretary, Ministry of Tribal Affairs
81	Secretary, Ministry of Water Resources, River Development & Ganga Rejuvenation
82	Secretary, Ministry of Women & Child Development
83	Secretary, Union Public Service Commission
84	Secretary, Vice President's Secretariat

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## **ANNEXURE-2**

List of Participants in the Meeting held under the Chairmanship of Additional Secretary (S&V) on 15.01.2019 at 03:00 PM in Room No. 190, Conference Hall, North Block, New Delhi on the issue of "Review of Mechanisms to Ensure Probity among Government Servants".

<b>Sl. No.</b>	<b>Name</b>	<b>Designation</b>	<b>Ministry / Department</b>
1	Dr. Mukesh Jain	Deputy Secretary	CBDT, D/o Revenue
2	B Ginkhanmang	Under Secretary	CBIC, D/o Revenue
3	Sukh Lal Meena	Deputy Secretary	CBIC, D/o Revenue
4	Shailesh Rana	Under Secretary	D/o Defence Production
5	Tara Dutt	Section Officer	D/o Defence Production
6	Suchindra Misra	Joint Secretary	D/o Financial Services
7	Rajesh Puri	Dy. Director	D/o Public Enterprises
8	Neeraj Verma	Asst. Director	D/o Public Enterprises
9	S.K. Sinha	Director	D/o Science & Technology
10	Y.V. Ramana	Tech. Director	DOPT (NIC)
11	Manish Thakur	Joint Secretary	M/o Defence
12	Anuradha Singh	Director	M/o Environment, Forest and Climate Change
13	V.K. Ranjan	Deputy Secretary	M/o Home Affairs
14	Subhendu Hota	Under Secretary	M/o Home Affairs
15	P.C. Dhasmana	Deputy Secretary	M/o Housing & Urban Affairs
16	S.K. Singh	Under Secretary	M/o Railways
17	S.K. Sharma	Under Secretary	M/o Railways
18	Gulshan Kumar	Dy. Director	M/o Railways
19	Suman Chatterjee	Deputy Secretary	M/o Railways
20	S.K. Jain	Under Secretary	M/o Shipping

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