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वैज्ञानिक तथा औद्योगिक अनुसंधान परिषद्

अनुसंधान भवन, 2, रफी मार्ग, नई दिल्ली - 110001

**COUNCIL OF SCIENTIFIC & INDUSTRIAL RESEARCH**

Anusandhan Bhawan, 2, Rafi Marg, New Delhi - 110001

संख्या

15-6(82)/1998-O&M-II

Dated 19 December, 2019

No

प्रेषक

From

मुख्य सतर्कता अधिकारी

Chief Vigilance Officer

To

The Directors/Heads of all National Labs./Instts. of CSIR

**Sub. Submission of on line quarterly report (4<sup>th</sup> quarter) and Vigilance annual report for the year 2019 reg.**

Madam/Sir,

With reference to CSIR letter No.15-6(82)/98-O&M-II(Vig.) dated 14.02.2019, it is to inform you that CVC vide above said letter informed that the on line Vigilance Annual Report relating to vigilance work/activities in the prescribed format be sent to CVC by 15<sup>th</sup> January every year whereas on line quarterly report to be submitted by the 10<sup>th</sup> day of succeeding month after close of each quarter.

It is therefore requested to ensure that the Annual Report of Vigilance work for the year 2019 and on line quarterly report for the quarter ending 31.12.2019 (4<sup>th</sup> quarter) in respect of your Laboratory/Institute, in the prescribed format reaches to this office on or before 02.01.2020 so that a consolidated on line reports can be sent to the CVC within the scheduled date. Annual Report format is attached herewith.

Deadline be strictly complied.

Encl. As Above.

Yours faithfully,

(Lt. Col. Rana Vikram Singh)  
Chief Vigilance Officer

Copy to

1. Sr. DS (HR) & DS (CO), CSIR – Hqrs.
- ✓ 2. Head, IT, CSIR – for placing this letter on CSIR website
3. All SOs of Vigilance Division
4. Office Copy



# ANNUAL REPORT

## -1-0

### COMPLAINTS

Source	Opening Balance	Received During The Year	Total	Disposal During The Year (Filed/Sent for NA and Registered as Vig. for Investigation by CVO)	Balance Pending	Age Wise Pendency (Months)		
						<1	1-3	Over 3 months
1	2	3	4	5	6	7	8	9
CVC #	0	0	0	0	0	0	0	0
OTHERs\$	0	0	0	0	0	0	0	0
TOTAL	0	0	0	0	0	0	0	0

#### 1.1 Break up of complaints received under source 'Others' during the quarter

(a) Signed Complaints received by CVO	0
(b) Complaints from Ministry/Department	0
(c) Complaints from CBI	0
(d) Complaints from Other Source	0
(e) Detected through Audit(No. of paras turned into Vigilance paras)	0
(f) Detected through Inspection/Scrutiny of Contracts etc .	0

#### 1.2 Break Up of Diposal of Complaints During the Year



### 1.3 PIDPI Complaints

#### 1.4 Advice and Action Taken on PIDPI Investigation Reports

### 1.5 Action taken report on complaints sent by Central Vigilance Commission for "Necessary Action" under PIDPI Resolution

[illegible]

# 1.6 Scrutiny of Audit Reports

Type of Audit	Paras B/F from previous years	No. of reports examined during the Year	No. of para in which vigilance angle observed and identified for examination*	No. of paras in which investigation completed	No. of paras pending for investigation
1	2	3	4	5	6
CAG	0	0	0	0	0
Concurrent	0	0	0	0	0
Internal	0	0	0	0	0
Statutory	0	0	0	0	0
Others	0	0	0	0	0
Total	0	0	0	0	0



# VIGILANCE INVESTIGATION

## 2.1 Complaints, Audit reports, Inspection reports of works / procurements etc. Taken up for investigation (nos)

Agency	Opening Balance	Taken up for investigation during the Year	Total	Reports received from IO	Balance Pending	Age wise Pendency(Months) of Investigations			
						<3	3-6	6-12	>12
1	2	3	4	5	6	7	8	9	10
CVC*	0	0	0	0	0	0	0	0	0
CVO**	0	0	0	0	0	0	0	0	0
TOTAL	0	0	0	0	0	0	0	0	0

## 2.2 Vigilance investigation pending where officers under cvc jurisdiction are due for retirement in next one year (cases referable to cvc)

Opening Balance	Taken up for investigation during the Year	Total	Reports received from IO (other then Prosecution sanctions)	Balance Pending	Age wise Pendency (Months) of Investigations			Reasons for Pendency
					<3	3-6	6-12	
1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	

## 2.3 Action on Investigation Reports

### 2.3 A Category A (officials falling under CVC jurisdiction)

Agency	Investigation report B/F from last Year	Received During the Year	Total	Disposed during the Year*	Balance Pending investigation Reports	Age wise Pendency (Months) of Investigation Reports(awaiting action)			
						<3	3-6	6-12	>12
1	2	3	4	5	6	7	8	9	10
CBI	0	0	0	0	0	0	0	0	0
CVO	0	0	0	0	0	0	0	0	0
TOTAL	0	0	0	0	0	0	0	0	0

### 2.3 (B) Break up of Disposal

(a) C/Sheet for RDA for Major Penalty issued	0
(b)C/Sheet for RDA for Minor Penalty issued	0
(c) Final decision taken for Administrative Action	0
(d) Final decision taken for Closure of case	0

### 2.3 (C) Category B (officials not falling under CVC jurisdiction)

Agency	Investigation report B/F from last Year	Received During the Year	Total	Sent to DAs concerned for Action (ie RDA/AA)	Disposed during the Year* (After decision of DA)	Balance Pending Investigations	Age wise Pendency (Months) of Investigation Reports (awaiting action)			
							<3	3-6	6-12	>12
1	2	3	4	5	6	7	8	9	10	11
CBI	0	0	0	0	0	0	0	0	0	0
CVO	0	0	0	0	0	0	0	0	0	0
TOTAL	0	0	0	0	0	0	0	0	0	0

### 2.3 (D) Break up of Disposal

(a) C/Sheet for RDA for Major Penalty issued	0
(b) C/Sheet for RDA for Minor Penalty issued	0
(c) Final decision taken for Administrative Action	0
(d) Final decision taken for Closure/No action	0

### 2.4 Investigation Reports Submitted by the CVO W.R.T ALL Complaints/Audit reports, Inspection Reports of Works/Procurements. (Nos)

Reports submitted by the CVO during the Year	Action Recommended on the Investigation Reports/Cases of the Year*			
	Major pp	Minor pp	Others incl. Admn Action etc	Closure
1	2	3	4	5
0	0	0	0	0

### 2.5 Risk wise Break up of Action Recommended in PARA 2.3 Above During the Year

**Category	Action Recommended on the cases of the month				
	Major pp	Minor pp	Others incl. Admn Action etc	Closure	Total
1	2	3	4	5	6
Group C	0	0	0	0	0
Group B	0	0	0	0	0
Group A upto DS/Dir level	0	0	0	0	0
Js and above	0	0	0	0	0
Total	0	0	0	0	0



## PROSECUTION SANCTIONS

### 3.1(A) Details regarding requests for Prosecution sanctions received and disposed

**Category	Opening Balance	Received during the qrtr.	Total	Sanction granted	Sanction refused	Balance Pending	Age wise Pendency(Months)		
							<3	3-6	>6
1	2	3	4	5	6	7	8	9	10
(1)Group C	0	0	0	0	0	0	0	0	0
(2)Group B	0	0	0	0	0	0	0	0	0
(3)Group A up to DS/Dir level	0	0	0	0	0	0	0	0	0
(4)Js and above	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0

### 3.1(B) Details of cases pending for Prosecution sanctions beyond 3 months

CBI S.No.	Name & Designation of officer	Date of recommendation by Investigating Agency	Date of receipt of recommendation/request	Sanction sought under PC Act/IPC /other Laws	Status of request/Reasons for pendency	Name & designation of authority with whom pending
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### 3.2 Suspension on Vigilance / Corruotion Grounds- Category A-Officers under CVC jurisdiction

#### 3.2(A)Details regarding suspension(Nos )

Nos. of officers under suspension at the beginning of Year	Suspensions ordered during the Year	Total	Suspension revoked during the Year	Nos. of officers under suspension at the end of Year
1	2	3	4	5
0	0	0	0	0

## DEPARTMENTAL PROCEEDINGS

### Departmental Inquiries (Nos)

#### 4.(A) Major Penalty cases pending with IOs/IAs

Category	B/F from last Year	Inquiries assigned to IO during the Year	Total	Reports received from IOs	Inquiries Pending with IOs	Age wise Pendency(Months)**			
						<6	6-12	12-18	>18
1	2	3	4	5	6	7	8	9	10
Cases under CVC Jurisdiction	0	0	0	0	0	0	0	0	0
Other (non- CVC cases)	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0

#### 4.(B) Minor Penalty Proceedings pending with Disciplinary Authorities

Category	B/F from last Year	Minor penalty charge sheet by DAs during the qtr	Total	Cases in which Final Orders issue by DAs	Balance pending	Age wise Pendency(Months)**			
						<6 months	6-12 months	12-18 months	>18 months
1	2	3	4	5	6	7	8	9	10
Cases under CVC Jurisdiction	0	0	0	0	0	0	0	0	0
Other (non- CVC cases)	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0

#### 4(C) Details of inquiry against charged officers due for superannuation in next one year

S.No.	Name & designation of charged officer	Date of Charge-sheet	Date of IO/PO appointment	Date of superannuation	Remarks
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# ADVICE OF CVC

## 5. Advice of CVC & Action Taken Thereon(Nos)

### 5.(A) Advice CVC(Nos)

Stage of Advice	Cases referred to CVC & advice awaited (B/F from previous Year)	Cases referred to CVC during the Year	Advice received during the Year	Advice awaited from CVC
1	2	3	4	5
1st	0	0	0	0
2nd	0	0	0	0
1st stage reconsideration	0	0	0	0
2nd stage reconsideration	0	0	0	0
Total	0	0	0	0

### 5.(B) Action on CVC Advice(Nos)

Stage of Advice	Type of Proceeding	Opening Balance	Advices received during the Year	Disposed	Balance Pending	Age wise Pendency(Months)			
						<1	1-3	3-6	>6
1	2	3	4	5	6	7	8	9	10
1st	Major	0	0	0	0	0	0	0	0
	Minor	0	0	0	0	0	0	0	0
2nd	Major	0	0	0	0	0	0	0	0
	Minor	0	0	0	0	0	0	0	0
Total		0	0	0	0	0	0	0	0

### 5.(C) Non-Acceptance/deviation of CVC Advice

S.No.	Type	Nature of CVC Advice	CVC File No.	Dept. Ref. No.	Name of Officer and Designaion	Name & Designation of DA	Action taken by DA
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### 5.(D) Appellate authority Deviations(Deviation / Non-acceptance)

S.No.	Type	Nature of CVC Advice	CVC File No.	Dept. Ref. No.	Name of Officer and Designaion	Action taken by DA and Name & Designation of DA	Action taken by AA
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# STATUS OF PENDENCY OF 'FI' AND 'CA' CASES

6.(A) FI Cases (further information/Clarification to be furnished to the Commission)  
(Nos.)

B/F from previous Year	Added during the Year	Total	Reply furnished to the Commission during the Year	Reply pending	Age wise Pendency of reply(Months)			
					<3	3-6	6-12	>1yr
1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	0

Details of FI Cases:

Add

S. No.	CVC case registration No.[CVO,CBI,OTH,CDI,REC,MIS number as given in the FL list]	CVC File No.	Date since pending	Name & Designation of officers	Departmental ref. No.	Present Status	Likely date for submission of response	Remarks.
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6.(B) Comments Awaited (CA) Cases (CBI reports awaiting comments of Department/ Organization)[Nos.]

B/F from previous Year	Added during the Year	Total	Comments furnished to the Commission during the Year	Comments Pending	Age wise Pendency of comments(Months)			
					<1	1-2	2-3	>3
1	2	3	4	5	6	7	8	9
0	0	0	0	0	0	0	0	0

Details of CA Cases:

Add

S. No.	CVC case registration No.[CVO,CBI,OTH,CDI,REC,MIS number as given in the FL list]	CVC File No.	Date since pending	Name & Designation of officers	Departmental ref. No.	Present Status	Likely date for submission of response	Remarks.
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## PUNITIVE VIGILANCE

7 Age-Wise Data of Disposed Departmental Proceedings During the Year(Nos)

Nature of Proceedings	3-6 Months	6months-1 year	1-2 year	Over 2years	Over 3 years
1	2	3	4	5	6
Major	0	0	0	0	0
Minor	0	0	0	0	0

### 7.(B) Major Penalty Proceedings

[illegible]

### 7.(c) Minor Penalty Proceedings

No. of Case	No. of Officials against whom proceeding finalized	Reduction to lower stage	Postponement/ Withholding of Increment	Recovery from pay	With holding of Censure promotion	Exoneration
1	2	3	4	5	6	7
0	0	0	0	0	0	0

**7.(D) Rank- wise Break up of Major Penalty Awarded /Final Order Issued**

[illegible]