वैज्ञानिक तथा औद्योगिक अनुसंधान परिषद
अनुसंधान भवन, 2, रफी मार्ग, नई दिल्ली-110001

सं. : 5-1(17)/2008-पीडी दिनांक : 05.11.2026

कार्यालय ज्ञापन

विषय: कम आधार प्रमाणीकरण की आवश्यकता वाली सरकारी एवं गैर-सरकारी संस्थाओं के सरलीकृत ऑनबोर्डिंग हेतु रूपरेखा के संबंध में।

अधोहस्ताक्षरी को यह कहने का निदेश हुआ है कि सक्षम प्राधिकारी ने भारतीय विशिष्ट पहचान प्राधिकरण द्वारा उपरोक्त विषय पर जारी दिनांक 16.09.2026 के परिपत्र सं. HQ-13083/1/2026-AUTH-II HQ/C-21591 को सभी सीएसआईआर प्रयोगशालाओं/संस्थानों/इकाइयों को सूचना, मार्गदर्शन और अनुपालन के लिए अग्रेषित करने की स्वीकृति प्रदान की है।

वंदना दिग्विजय सिंह
उप सचिव (नीति प्रभाग)

संलग्न/ : यथोपरि
प्रतिलिपि :

1. सी.एस.आई.आर. की सभी राष्ट्रीय प्रयोगशालाओं/संस्थानों/मुख्यालय/एककों के निदेशक/प्रधान
2. सी.एस.आई.आर. वेबसाइट
3. कार्यालय प्रति

File No.HQ-13083/1/2026-AUTH-II HQ/ C-21591

Unique Identification Authority of India

(Authentication and Verification Division)

UIDAI Head Office, Bangla Sahib Road
Behind Kali Mandir, Gole Market
New Delhi -110 001
Dated 16 September 2026

Circular No. 9 of 2026

Subject: Framework for simplified onboarding of Government and other-than Government entities requiring less Aadhaar authentications.

This circular supersedes paragraph 2(b) and Annexure-II of UIDAI's Circular no. 1 of 2026, bearing File no. HQ-13062/3/2020-Auth-II HQ –Part (4)/Comp-20043 and dated 12.01.2026, pertaining to LITE Code implementation.

2. Use of Aadhaar authentication is a non-repudiable means to establish an individual's identity by an entity ("requesting entity"). Aadhaar authentication promotes digital enablement of various benefits and services being rendered by the requesting entities. It provides ease of governance and delivery of services at doorstep for ministries/departments and states. To expand use-cases of Aadhaar authentication, UIDAI is constantly endeavoring to ease the onboarding process so as to facilitate onboarding of maximum schemes.

3. To facilitate wider adoption of Aadhaar authentication services by Government and other than Government entities having low-volume authentication requirements, UIDAI has introduced the LITE Framework to provide a simplified onboarding process. Under this framework, Sub-AUA/Sub-KUA(LITE) may leverage the authentication infrastructure of an AUA/KUA, thereby enabling faster onboarding and reducing compliance requirements at the Sub-AUA/Sub-KUA (LITE) level, while ensuring continued adherence to the security and regulatory standards prescribed by UIDAI. The Sub-AUA/Sub-KUA (LITE) herein, "**means a type of Sub-AUA and/or Sub-KUA, as the case may be, being a requesting entity that is permitted to carry out Aadhaar authentication transactions for a specific, use-case or scheme, using the authentication facility of the Authority through an existing AUA or KUA, subject to such conditions, transaction thresholds, and technical architecture as may be specified by the Authority from time to time**".

4. The AUA/KUA providing authentication infrastructure to a Sub-AUA/Sub-KUA (LITE) shall be responsible for ensuring compliance with the information security and regulatory requirements prescribed by UIDAI under the Aadhaar Act, 2016 and the Regulations framed thereunder, in respect of the authentication services extended through its infrastructure.

5. Through this Circular, UIDAI is introducing the **Sub-AUA/Sub-KUA (LITE) Framework** to facilitate simplified enablement of Aadhaar authentication for entities that qualify as Sub-AUA(LITE)/Sub-KUA(LITE). A Sub-AUA/Sub-KUA (LITE) shall continue to be a type of Sub-AUA/Sub-KUA, as defined under the Aadhaar (Authentication and Offline Verification) Regulations, 2021, and thus required to be following the requirements as stated therein.

6. The Sub-AUA/Sub-KUA (LITE) Framework, application format and Annexures are attached along with the Circular.

7. This issues with the approval of competent authority.

Sanjeev Yadav

Digitally signed by Sanjeev Yadav
Date: 2026.09.16 19:02:03 +05'30'

(Sanjeev Yadav)
Director
Tel: 011-23478609
Email: dir2.auth-hq@uidai.net.in

Sub-AUA/Sub-KUA (LITE) Framework

Background: Based on the requests received from various Government and other-than Government entities seeking a simplified onboarding mechanism for entities having limited Aadhaar authentication transaction requirements, UIDAI has developed the Sub-AUA/Sub-KUA (LITE)[1] Framework to facilitate ease of onboarding into Aadhaar Authentication ecosystem. The framework provides a simplified enablement process for eligible low-volume entities while ensuring compliance with the provisions of the Aadhaar (Authentication and Offline Verification) Regulations, 2021 (Authentication Regulations). A Sub-AUA/Sub-KUA (LITE) entity shall continue to be a type of Sub-AUA/Sub-KUA, as defined under the Authentication Regulations and shall be required to comply with all the provisions and requirements applicable to a requesting entity as prescribed under the Aadhaar Act, regulations and rules framed thereunder.

2. **Criteria for becoming a Sub-AUA/Sub-KUA(LITE)**

(a) Purposes of authentication by Sub-AUA/Sub-KUA (LITE) and the AUA/KUA Entity are in accordance with Section 4(4)(b)(i), Section 4(4)(b)(ii), Section 7 and Section 4(7) of the Aadhaar Act, and notified in the Central or State gazette, where required under the Act, or Rules thereunder.

(b) Entities carrying out up to five lakh authentication transactions per financial year, or any other count as stipulated by UIDAI any time in future, can become Sub-AUA/Sub-KUA (LITE).

(c) A Sub-AUA/Sub-KUA (LITE) shall only use the AUA/KUA Entity's authentication module/application[2] (both authentication device and authentication server applications which serve the use-case of a Sub-AUA/Sub-KUA (LITE) and have been audited by UIDAI) and Aadhaar Data Vault infrastructure for storage of Aadhaar, if applicable.

(d) An onboarded fully compliant AUA/KUA can only be permitted to map the proposed Sub-AUA/Sub-KUA (LITE)for the Aadhaar authentication facilities.

(e) For other than Government sector, only the AUA/KUA which is functioning in the same sector, under the same regulator or industry body is permitted to extend Aadhaar authentication facilities to Sub-AUA/Sub-KUA (LITE). However, for Government entities, the Sub-AUA/Sub-KUA (LITE) can be mapped to any Government AUA/KUA.

3. **Fees charged by UIDAI**

(a) No license fee will be charged from Sub-AUA/Sub-KUA (LITE)However, UIDAI reserves the right to charge a fee in future.

(b) One time registration cum administrative fee of ₹25,000/- plus GST will be charged.

[1] Sub-AUA/Sub-KUA (LITE) means a type of Sub-AUA and/or Sub-KUA, as the case may be, being a requesting entity that is permitted to carry out Aadhaar authentication transactions for a specific, use-case or scheme, using the authentication facility of the Authority through an existing AUA or KUA, subject to such conditions, transaction thresholds, and technical architecture as may be specified by the Authority from time to time

[2] An authentication module/application both captures & transmits Aadhaar number (or any alias to it as provided in the Aadhaar Act) required for authentication, to UIDAI, employing the protocol as laid out by UIDAI.

(c) If the count of transactions carried out by Sub-AUA/Sub-KUA (LITE), crosses five lakhs in a financial year[3], or any other count as stipulated by UIDAI in future, the entity shall be charged full license fees as laid out for a Sub-AUA/Sub-KUA, with effect from the commencement of the financial year for a period of two years as per policy in vogue.

(d) A Sub-AUA/Sub-KUA (LITE) shall be charged for the authentication transactions carried out by it, as per the extant rates as per Regulation 3 of the Aadhaar (Payment of Fees for Performance of Authentication) Regulation, 2023. The billing for the same will be done to the mapped AUA/KUA.

4. **Compliance requirements**

(a) UIDAI will be issuing a LITE code[4] to Sub-AUA/Sub-KUA(LITE) entities.

(b) Since Sub-AUA/Sub-KUA (LITE) is a type of Sub-AUA/Sub-KUA, it will be treated at par with any other requesting entity and therefore, is required to be compliant with the Aadhaar Act, its regulations thereof and any directions issued thereunder by UIDAI. The same shall be ensured by the AUA/KUA, in accordance with directions issued by UIDAI on the same.

(c) A minimum of 15 test transactions for applicable modalities of authentication and types of authentication facility (Yes/No & eKYC), as applied for by the Sub-AUA/Sub-KUA (LITE) will be required to be carried out in the test environment as made available by UIDAI, before the entity can do transactions in live environment.

(d) All onboarded Sub-AUA/Sub-KUA (LITE) shall be required to deploy a dedicated Unique Identifier[5] (if any) for each of the schemes/use-cases (which are duly notified, where applicable) tied to their existing Sub-AUA/Sub-KUA (LITE) Code.

(e) For ease of onboarding, no separate audit or testing of application and infrastructure of the Sub-AUA/Sub-KUA (LITE) will be required. However, Sub-AUA/Sub-KUA (LITE) needs to submit security compliance of its infrastructure and portal/application which are processing Aadhaar, as per UIDAI prescribed format.

5. **Sub-AUA/Sub-KUA (LITE) application**

(a) The AUA/KUA shall submit a joint application and an undertaking signed by the authorized representative/KMP of both AUA/KUA and Sub-AUA/Sub-KUA (LITE) to get onboarded. The formats for application and joint undertaking forms are at **Annexure 1.**

(b) Declaration and undertaking for general character of the management and the financial condition of the entity, needed to be furnished by the new applicant entity. The form for the same is at **Annexure 2.**

(c) In case, the entity is an existing AUA/KUA or Sub-AUA/Sub-KUA who wants to migrate to a Sub-AUA/Sub-KUA (LITE) Framework, it shall submit a request as per **Annexure 3.**

[3] Year here is financial year.

[4] Sub-AUA/Sub-KUA (LITE) Code is a code given by UIDAI for mapping Sub-AUA/Sub-KUA (LITE) in Aadhaar ecosystem.

[5] A unique identifier is part of the authentication transaction Id in a template as stipulated by UIDAI, and which is capable of uniquely identifying the use-case/purpose/scheme as approved for Aadhaar authentication

(d) The entity shall submit an undertaking regarding proposed authentication transaction volume as defined thresholds for a Sub-AUA/Sub-KUA (LITE), is mentioned at **Annexure 4.**

(e) Template for deploying Unique Identifier for any scheme/use-case of a Sub-AUA/Sub-KUA (LITE), as approved/notified, for the purpose of Aadhaar authentication is at **Annexure 5**.

(f) The application form for addition of a scheme/use-case in existing Sub-AUA/Sub-KUA (LITE) is at **Annexure 6.**

(g) The process flow chart for Sub-AUA/Sub-KUA (LITE) is displayed in **Annexure 7.**

6. **Roles & Responsibilities**

In this model, the operational and compliance workload is divided between the **AUA/KUA,** which is providing Infrastructure-As-a-Service (IAAS) and **Sub-AUA/Sub-KUA (LITE).**

(a) **AUA/KUA**: The AUA/KUA acts as the primary custodian of the required secure application and infrastructure and hence holds accountability for UIDAI compliances.

(i) Intimation to UIDAI: The AUA/KUA shall formally intimate UIDAI regarding the onboarding of the Sub-AUA/Sub-KUA (LITE) under its infrastructure. The AUA/KUA may, where required, deploy a dedicated Unique Identifier for each duly notified schemes/use-case mapped to the existing Sub-AUA/Sub-KUA (LITE) Code and shall communicate such details to UIDAI.

(ii) Volume Compliance: Ensures that the total volume of authentication transactions remains strictly within the agreed cap of **[≤ 5 Lakh]** per financial year.

(iii) Compliance Safeguarding: Assumes the complete operational responsibility of maintaining information security audit compliances as mandated by the Aadhaar Act, regulations, and subsequent UIDAI circulars.

(iv) Infrastructure Provisioning: Provides secure access to its established authentication systems, core APIs, and authorized data storage infrastructure to facilitate the transactions made by Sub-AUA/Sub-KUA (LITE).

(v) Transaction Monitoring: Monitors, logs, and audits the transaction volumes of the Sub-AUA/Sub-KUA (LITE) to ensure all UIDAI compliance with the active annual threshold limits.

(vi) Technical Onboarding: Issues and manages the allocated Sub-AUA/Sub-KUA (LITE) code configuration parameters within its system architecture.

(vii) UIDAI may deboard or impose penalty on any AUA/KUA found onboarding a Sub-AUA/Sub-KUA (LITE) without UIDAI approval or violating UIDAI guidelines.

(a) **Sub-AUA/Sub-KUA (LITE) (Government or Other than Government)**

(i) Use Case Alignment: Restricts authentication activities solely to the authorized use cases, as approved under Sections 4(4)(b)(i), 4(4)(b)(ii), Section 7 & Section 4(7) of the Aadhaar Act. The entity shall publish gazette notification wherever applicable.

(ii) Local Security Maintenance: Maintains basic end-point security and encryption level with cryptographic Algorithms (Symmetric/Asymmetric Encryption) in their local databases.

(iii) Log Maintenance: Maintains logs of Aadhaar authentication transactions as per Regulation 18 of the Authentication Regulations.

(b) **Joint Responsibilities**

(i) Data Privacy: AUA/KUA must ensure that the Sub-AUA/Sub-KUA (LITE) strictly adheres to the data protection requirements, as specified under the Aadhaar Act and Regulations and directions issued thereunder.

(ii) Incident Management: AUA/KUA shall ensure that the incident management be done as per UIDAI guidelines. Also, AUA/KUA shall immediately suspend, isolate, or report any technical anomalies, security variances, or transactional threshold breaches to UIDAI.

7. Any Sub-AUA/Sub-KUA (LITE) found not complying with UIDAI guidelines, UIDAI reserves the right to deboard the entity without prior intimation and may impose penalty on the mapped AUA/KUA.

8. Any exception to Framework, shall be approved by competent authority at UIDAI.

Annexure 1

(On the Letter Head of AUA/KUA)

To:

The Chief Executive Officer, Unique Identification Authority of India
[Attention: Deputy Director (Authentication-II), UIDAI]
UIDAI Head Office, Bangla Sahib Road,
Gole Market,
New Delhi-110001

Subject: Appointment of _____[6] as **Sub-AUA/Sub-KUA (LITE[7])**.

Sir/madam,

Regulation 14(1)(ga) of the Aadhaar (Authentication and Offline Verification) Regulations, 2021 ["**regulations**"] requires an AUA/KUA to obtain approval from the Unique Identification Authority of India (hereinafter referred to as "Authority") before appointing any third party entity as a Sub-AUA/Sub-KUA (LITE) for the use of Yes/No authentication facility under regulation 15 and/or e-KYC authentication facility under sub-regulation (2) of regulation 16 of the Aadhaar (Authentication & Offline Verification) Regulations, 2021 respectively of the said regulations by submitting an application and executing joint undertaking.

2. In this regard, we are submitting herewith an application (**Appendix – I**) for appointment of _____[8] as our Sub-AUA/Sub-KUA (LITE) along with a Joint Undertaking (**Appendix – II**) with the proposed LITE.

3. We request you to approve the appointment of _____[9], as our Sub-AUA/Sub-KUA (LITE).

Thanks & Regards,

(Authorized Signatory)
Name:
Designation:
Mobile no.

[6] Insert name of the proposed Sub-AUA/Sub-KUA (LITE)

[7] Sub-AUA/Sub-KUA (LITE) means a type of Sub-AUA and/or Sub-KUA, as the case may be, being a requesting entity that is permitted to carry out Aadhaar authentication transactions for a specific, use-case or scheme, using the authentication facility of the Authority through an existing AUA or KUA, subject to such conditions, transaction thresholds, and technical architecture as may be specified by the Authority from time to time

[8] Insert name of the proposed Sub-AUA/Sub-KUA (LITE)

[9] Insert name of the proposed Sub-AUA/Sub-KUA (LITE)

Appendix-I

Application for appointment as Sub-AUA/Sub-KUA (LITE) under regulation 15 and 16(2) read with regulation 14(1)(ga) of the Aadhaar (Authentication and Offline Verification) Regulations, 2021

1. Details of the entity applying to become Sub-AUA/Sub-KUA (LITE)

(a) Name of AUA/KUA	
(b) Name of the applicant entity	
(c) Registration/Incorporation No.[10] *(Please attach copy of the document, if applicable)*	
(d) License No.[11] *(Please attach copy of the document, if applicable)*	
(e) Registered Office address	
(f) Correspondence address *{If other than address mentioned against (d)}*	
(g) GSTN registration number of entity, as per Form GST REG-06 (*Please attach copy of the document, if applicable)*	
(h) Tax Deduction and Collection Account Number (TAN) of entity (*(Please attach copy of the document, if applicable)*)	
(i) Type of Aadhaar Authentication facility; Corresponding category of requesting entity (*Tick as applicable)*	☐ Yes/No Authentication facility ☐ e-KYC Authentication facility
(j) Board Resolution/minutes (or other valid letter/instrument of authorisation) citing approval for submitting the application form and signing Sub-AUA/Sub-KUA (LITE) Joint Undertaking and doing other Acts in relation to the same. (*Please attach a certified copy of the document in case of other than government entities)*	

[10] Copy of Certificate of Incorporation/registration to be attached in case of "other than Govt. entities"

[11] Copy of Certificate of Registration/License from the concerned regulator issued from RBI, SEBI, IRDAI and PFRDA

(k) The provision of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 under which authentication is required/permitted {*If such provision is section 7 or section 4(4)(b)(ii) or section 4(4)(b)(i), please attach copy of the relevant notification published in the Official Gazette}*	☐ Section 4(4)(b)(i), read with the Prevention of Money-laundering Act, 2002 ☐ Section 4(4)(b)(i), read with relevant Central Act other than the Prevention of Money-laundering Act, 2002 ☐ Section 4(4)(b)(ii) ☐ Section 4(7) ☐ Section 7
(l) Gazette notification details, if applicable	Gazette notification no: Gazette notification dated: Gazette notification published date: Gazette notification published by[12]:
(m) Category of the entity	☐ A Ministry, Department, secretariat, office, or agency of the Central Government, which has required, in terms of the provisions of section 7 of the Act, that an individual undergo authentication for receipt of a subsidy, benefit or service for which the expenditure is incurred from, or the receipt therefrom forms part of the Consolidated Fund of India ☐ A Department, secretariat, office or agency of the Government of _____[13], which requires, in terms of the provisions of section 7 of Act, that an individual undergo authentication for receipt of a subsidy, benefit or service for which the expenditure is incurred from, or the receipt therefrom forms part of the Consolidated Fund of State ☐ An entity permitted under sub-clause (i) of clause (b) of sub-section (4) of section 4 of the Act to offer authentication services under _____[14] of_____[15]. ☐ An entity permitted under sub-clause (i) of clause (b) of sub-section (4) of section 4 of the Act to offer authentication services under section 11A of the Prevention of Money-laundering Act, 2002, by virtue of being a reporting entity under the said Act as a _____[16], in terms of

[12] Name of the concerned Department/Ministry

[13] Name of the concerned State, or the concerned Union territory with Legislature

14 Reference of the relevant provision (section, sub-section, clause etc.) of the relevant Act of Parliament, other than the Prevention of Money-laundering Act, 2002 ("PML Act")

15 Name of the relevant Act of Parliament, other than the PML Act

16 banking company, or financial institution, or intermediary, or a person carrying on activities for playing games of chance for cash or kind, or Inspector-General of Registration appointed under section 3 of the Registration Act, 1908, or Central-Government-notified real estate agent, or Central-Government-notified dealer in precious metals,

	_____[17] of sub-section (1) of section 2 of the said Act. ☐ An entity that has been allowed/authorised under subclause (ii) of clause (b) of sub-section (4) of section 4 of the Act, vide Ministry of Electronics and Information Technology's letter no. _____[18], dated _____[19]. ☐ An entity that is required, in terms of the provisions of sub-section (7) of section 4 of the Act, to perform mandatory authentication under _____[20] of _____[21].
(n) Purpose for which authentication facility will be used (*For section 7 as per published gazette notification & for Section 4(4)(b)(ii) as per MeitY approval*)	1. 2.

2. Contact details:

(a) Details of Key Managerial Personnel (KMP)[22]	
Name	
Full designation	
Official email address	
Mobile number	
Alternate office telephone number	

precious stones and other high value goods, or Central-Government-notified person engaged in safekeeping and administration of cash and liquid securities on behalf of other persons, or Central Government-notified person carrying on such other activities (whichever is applicable)

17 clause (wa), or sub-clause (i) of clause (sa), or sub-clause (ii) of clause (sa), or sub-clause (iii) of clause (sa), or sub-clause (iv) of clause (sa), or sub-clause (v) of clause (sa), or sub clause (vi) of clause (sa) (whichever is applicable)

18 Number of the letter so allowing/authorising

19 Date of the letter so allowing/authorising

20 Relevant provision (section, sub-section, clause etc.)

21 Name of the law passed by Parliament, other than the Aadhaar Act

22 For the purpose of this "Key Managerial Personnel (KMP)" means a KMP as defined under sub-section (51) of section 2 of the Companies Act, 2013

(b) Management Point of Contact (MPOC)		Person authorised on the basis of Board resolution/minutes (or other valid letter/instrument of authorisation)	Other key personnel (if any)
Name			
Full designation			
Official email address			
Mobile number			
Alternate office telephone number			
(c) Data Privacy Officer (DPO)			

3. Authentication Requirements:

(a) Territorial extent for use of Authentication facility	☐ Whole of India ☐ [Name of State(s) and Union Territory][23]
(b) Device form factor (*select one or more option*)	☐ Discrete Biometric Device ☐ Integrated Biometric Device ☐ Laptop/Desktop ☐ Mobile/Phone
(c) Whether Authentication will be operator assisted or by the user himself/herself (*select one or more option*)	☐ Operator Assisted Use ☐ Self-use
(d) Mode of Authentication (*select one or more option*)	☐ Demographic ☐ OTP ☐ Face ☐ Fingerprint ☐ Iris
(e) Confirmation that the applicant has executed Declaration and Undertaking annexed at Annexure-2 (*Attach duly filled and signed Declaration and Undertaking*)	☐ Yes ☐ No

[23] Name of State(s) and Union Territory

(f) Confirmation that the applicant has provided undertaking regarding authentication transaction volume of 5 lakh in a financial year.	☐ Yes ☐ No (specify anticipated transactions) _____
(g) Provide your application URL (Domain name)	

Joint Declarations and Undertakings:

It is hereby declared that the information furnished in this application form is true and correct to the best of our knowledge and that no material particulars or information have been concealed or withheld, and that the (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) hereby undertakes—

(a) to abide by the provisions of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 ("Aadhaar Act") and the regulations made thereunder;
(b) to fulfil all requirements with respect to use of the Aadhaar Authentication facility as per the regulations 14 and 16 of the Aadhaar (Authentication and Offline Verification) Regulations, 2021, as applicable;
(c) to carry out appropriate due diligence before engaging any sub-contractor, business correspondent or field operator for performing any functions in relation to the use of Aadhaar Authentication facilities, including on boarding of user/beneficiary/customer, Authentication application development, etc.;
(d) to ensure carrying out of audit of its own operations and systems and those of its Sub-AUA/Sub-KUA (LITE), if any, as required under the Aadhaar Act, the regulations made thereunder and the AUA Agreement;
(e) to not share or disclose e-KYC data that may be received on use of e-KYC Authentication facility, except in accordance with the provisions of the Aadhaar Act and the regulations made thereunder;
(f) if Sub-AUA/Sub-KUA (LITE) exceeds the prescribed transaction threshold of 5 lakh authentications during a financial year, all authentication transactions executed beyond such threshold shall be invoiced in accordance with the provisions of the Aadhaar (Payment of Fees for Performance of Authentication) Regulations, 2023 as mentioned under regulations 3(1)(a) and (b). The Sub-AUA/Sub-KUA (LITE) shall also be liable for any applicable disincentives as prescribed by UIDAI from time to time;
(g) to inform UIDAI of any change in the name, address and other particulars of the applicant and contact persons as furnished in this application form;
(h) to inform the UIDAI with a complete record of the total number and names of all schemes/portals associated with Sub-AUA/Sub-KUA (LITE); and
(i) Implementation of Internal Identifier for scheme/schemes of the onboarded Sub-AUA/Sub-KUA (LITE), in accordance with UIDAI Circular 1 of 2026 dated 12 Jan 2026

All the details mentioned above have been verified.

(Authorized signatory on behalf of AUA/KUA)

Signature: _____
Name: _____
Designation: _____
Organization: _____
Date: _____

(Authorized signatory on behalf of Sub-AUA/Sub-KUA (LITE)[24]

Signature: _____
Name: _____
Designation: _____
Organization: _____
Date: _____

[24] As per KMP or authorized signatory

Appendix-II

[Joint Undertaking to be drawn on non-judicial stamp paper of value as applicable. Please follow guidance given in referenced footnotes regarding filling up of underscored blank portions in this draft. Wherever guidance includes one or more text options bracketed by symbols "<" and ">", relevant option should be used without modification. Omit guidance and inapplicable text options.]

We, (name of AUA/KUA), intend to appoint (name of Sub-AUA/Sub-KUA (LITE)) as Less In Transaction Entity and both of us are fully aware of and understand the provisions of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 ["the Aadhaar Act"], Aadhaar (Authentication and Offline Verification) Regulations, 2021 ["Authentication Regulations"] and other concerning regulations made there under. Further, we warrant that we shall at all times abide by the Act, regulations, various circulars, guidelines, directions, notifications, etc., that are applicable to the requesting entity, issued by UIDAI from time to time.

We, (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)), jointly and severally, certify that the information filled up in the application form and supplied therewith has been read over and verified to be true and correct to our personal knowledge and belief and no material particulars have been concealed.

By this, the undersigned on behalf of (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) affirm, declare and undertake the following:

1. (name of AUA/KUA) shall ensure that the Aadhaar authentication services are used by (name of Sub-AUA/Sub-KUA (LITE)) only for the purpose as mentioned in the application form.

2. (name of Sub-AUA/Sub-KUA (LITE)) shall use unique Sub-AUA/Sub-KUA (LITE) code allocated to it by UIDAI and shall not share these further with any other person or entity for any purpose, and shall keep such Code secured from unauthorized access in any form.

3. (name of AUA/KUA) shall ensure that the (name of Sub-AUA/Sub-KUA (LITE)) is a regulated entity under (Name of Regulator) and it compulsorily maintains this status during the period of using authentication facility. ***(strike through if not applicable)[25].***

4. (name of AUA/KUA) in compliance with sub-regulation (2) of regulation 16 of the Aadhaar (Authentication and Offline Verification) Regulations, 2021 shall share the eKYC data received from UIDAI with (name of Sub-AUA/Sub-KUA (LITE)) only, as per the guidelines issued by the Authority from time to time and with specific consent of the Aadhaar number holder and after obtaining permission from Authority. Further, (name of Sub-AUA/Sub-KUA (LITE)) shall not share this information with any other entity or agency as per sub-regulation (3) of the said regulation.

5. (name of AUA/KUA) shall ensure that, in the event of revocation of consent given by the Aadhaar number holder, at any time, to Sub-AUA/Sub-KUA (LITE) for storing his/her e-KYC data, the Sub-AUA/Sub-KUA (LITE) shall delete the e-KYC data in a verifiable manner and provide an acknowledgement of the same to the Aadhaar number holder ***(strike through if eKYC facility is not taken by the Sub-AUA/Sub-KUA (LITE))***.

[25] Strikethrough if not applicable

6. (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) shall maintain logs of the authentication transactions processed by it for the period specified under regulations 18(2) and (3) of the Authentication Regulations and[26] (name of AUA/KUA) shall maintain auditable logs of all such transactions where e-KYC data has been shared with (name of Sub-AUA/Sub-KUA (LITE)), for a period specified by the Authority in due compliance with regulation 16(6).

7. We (name of AUA/KUA) shall ensure that the client application to be used by (name of Sub-AUA/Sub-KUA (LITE)) for Aadhaar authentication is developed and digitally signed by us, ***OR*** (name of Sub-AUA/Sub-KUA (LITE)) shall integrate digitally signed SDK developed by us in their client application for capturing Aadhaar information like Aadhaar number, biometric information, demographic information, etc. (*select one statement as per your use-case*)

8. (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) shall inform UIDAI, without undue delay and in no case beyond **24 hours** after having knowledge of misuse of any information or systems related to the Aadhaar framework or any compromise of Aadhaar related information.

9. We, (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) shall be jointly and severally liable for non-compliance of the provisions of the Aadhaar Act and its Regulations, specifically the Aadhaar (Authentication and Offline Verification) Regulations 2021, and directions, information security policies, processes, standards, specifications, guidelines and protocols issued by the Authority from time to time and shall be jointly and severally liable for disincentives and penalties in addition to initiation of action under other applicable laws and other provisions including section 33A of the Aadhaar Act.

10. (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)) have ensured that the declared information filled up in the application form as well as this joint undertaking was placed before the board of directors[27] of their respective organizations in their meetings dated _____[28] and dated _____[29], respectively and has been read over and verified to be true and correct.

11. No material particulars have been concealed and upon verification of the application, the board has approved the same for submission at the hands of _____[30]. Any change in the name, contact details, addresses etc. as filled up in the application form shall also be immediately conveyed to the Authority.

[26] In case where Sub-AUA/Sub-KUA (LITE) has opted for e-KYC authentication facility also. Strike through if not applicable

[27] This is applicable for other than the government entities. Mention "NA" if not applicable

[28] Meeting date of AUA/KUA, applicable for other than the government entities. Mention "NA" if not applicable

[29] Meeting date of Sub-AUA/Sub-KUA (LITE), applicable for other than the government entities. Mention "NA" if not applicable

[30] Name or designation of the person authorised based on Board resolution/minutes in this regard for AUA/KUA and Sub-AUA/Sub-KUA (LITE), respectively

12. The board resolutions/minutes of the meetings dated _____[31] and dated _____[32] approving the application form and authorizing _____[33] on behalf of (name of AUA/KUA) and (name of Sub-AUA/Sub-KUA (LITE)), respectively to submit the same are being annexed herewith.

13. The application form having been duly filled up and all its particulars having been verified by all the directors/head of department, each one of them shall be jointly and severally liable for any discrepancy in the information supplied herein above and as may be found by the authority. This undertaking is being executed on this _____ day of _____202__ at _____.

(Authorized signatory on behalf of AUA/KUA)

Signature: _____
Name: _____
Designation: _____
Organization: _____
Date: _____

(Authorized signatory on behalf of Sub-AUA/Sub-KUA (LITE))[34]

Signature: _____
Name: _____
Designation: _____
Organization: _____
Date: _____

[31] Meeting date of AUA/KUA, in case of other than the government entities. Mention "NA" if not applicable

[32] Meeting date of Sub-AUA/Sub-KUA (LITE), in case of other than the government entities. Mention "NA" if not applicable

[33] Name or designation of the person authorised based on Board resolution/minutes in this regard for AUA/KUA and Sub-AUA/Sub-KUA (LITE), respectively

[34] As per KMP or authorized signatory

Annexure 2

(To be submitted on the official letterhead of Sub-AUA/Sub-KUA (LITE))

Declaration and Undertaking

To:

The Chief Executive Officer
[Attention: Deputy Director (Authentication-II), UIDAI]
UIDAI Head Office, Bangla Sahib Road
Gole Market, New Delhi – 110 001

Subject: Undertaking and declaration regarding general character of management and financial condition of _____[35]

We, _____[36] hereby submit this declaration and undertaking in compliance with sub-regulation (6) of regulation 12 of the Aadhaar (Authentication and Offline Verification) Regulations, 2021.

2. We hereby confirm and declare the following regarding the general character of management and the financial condition of _____[37]:

Part A: General Character of Management	
I. Proceeding, if any, against the applicant entity or management of the applicant entity (*in past 3 years*)	
(a) The applicant entity has been appointed as a requesting entity with UIDAI earlier.	☐ Yes ☐ No
(b) If yes, any disincentive imposed on the applicant entity earlier.	☐ Yes ☐ No

[35] Name of applicant Ministry/Department/secretariat/office/agency/entity
[36] Name of applicant Ministry/Department/secretariat/office/agency/entity
[37] Name of applicant Ministry/Department/secretariat/office/agency/entity

(c) Any member of the management has been debarred or disqualified under the mentioned law	☐ Yes ☐ No (*if yes, certified copy of the relevant orders/decree of conviction or acquittal or as on date status of it, be attached from below checkboxes*) ☐ The Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 and regulations framed thereunder ☐ The Information Technology Act, 2000 read with Information Technology (Reasonable Security Practices and Procedures and Sensitive Personal Data or Information) Rules, 2011 ☐ The Digital Personal Data Protection Act, 2023 (*w.r.t. sections came into force w.e.f. 13.11.2025 vide G.S.R.843E dated 13.11.2025*) ☐ The Prevention of Money Laundering Act, 2002 ☐ The Telecommunication Act, 2023 ☐ The Indian Penal Code, 1860 or the Bharatiya Nyaya Sanhita, 2023 ☐ Any other law, specify, _____ _____
(d) Details of disciplinary action, if any, pending or commenced or resulting in conviction in the past against any member of the management or whether any member of the management has been debarred from entry at any profession/occupation at any time	☐ Yes ☐ No (*if yes, certified copy of the relevant orders/decree of conviction or acquittal or debarment or as on date status of it, be attached*)
(e) Details of prosecution, if any, pending or commenced or resulting in conviction in the past against any member of the management	☐ Yes ☐ No (*if yes, certified copy of the relevant orders/ decree of conviction or acquittal or as on date status of it, be attached*)

(f) Whether the applicant entity or any member of the management of it attracts dissociation in the past or any disqualification by or has to come to the adverse notice of the concerned or any regulator	☐ Yes ☐ No (*if yes, certified copy of the relevant orders of such disqualification or adverse notice of any member of management of applicant entity by any regulator or as on date status of it, be attached*)
(g) Whether the applicant entity or any member of the management has been subject to any investigation at the instance of the Government department or agency	☐ Yes ☐ No (*if yes, certified copy of the relevant orders of such investigation by the Government department or agency concerned or as on date status of it, be attached*)
(h) Any other explanation / information regarding above or any other information considered relevant for assessing 'fit and proper', provide details thereof	
(i) The applicant entity has been convicted of or plead guilty to or *nolo contendere* with respect to any offence that involves moral turpitude	☐ Yes ☐ No (*if yes, certified copy of the relevant orders/decree of conviction or acquittal or as on date status of it, be attached*)
Note: The applicant entity shall not be eligible to be appointed as requesting entity of UIDAI until: (i) a period of 5 years has not elapsed from the date of imposition of disincentives/penalty on the applicant entity by UIDAI; (ii) a period of 5 years has not elapsed from the date of expiry of any sentence resultant of conviction or disqualification of applicant entity or its management under any law for the time being in force; and/or (iii) a period of 5 years has not elapsed since the date the applicant entity or its management is disqualified by or disassociated by the regulator concerned.	
Part B: Financial Condition	
(a) Audited financial statements[38] for the last three years (certified copy to be attached)[39]	☐ Yes ☐ No
(b) Certificate of good financial standing issued by a recognised authority or auditor (copy to be attached)	☐ Yes ☐ No

[38] For this, "financial statement" means financial statement as defined under sub-section (40) of section 2 of the Companies Act, 2013

[39] Copy of financial statement to be attached in the form as laid down under Schedule III of the Companies Act, 2013

(c) The applicant entity has been declared insolvent and has filed for bankruptcy or has been declared insolvent by any court or tribunal or the management of the affairs of the applicant entity has been entrusted to a receiver	☐ Yes ☐ No (*if yes, certified copy of the relevant orders/decree declaring the entity as insolvent or bankrupt or appointment of receiver or as on date status of it, be attached*)

Declaration and Undertaking:
It is hereby declared that the information furnished above is true and correct to the best of its knowledge and that no material particulars or information have been concealed or withheld, and that the _____[40] hereby undertakes —

(a) to adhere to the highest, ethical and legal standards of integrity and probity;
(b) to ensure that members of management or key managerial personnel is not involved in any fraudulent or illegal activities;
(c) to comply with all applicable laws, including data protection laws;
(d) to abide by the provisions of the Aadhaar (Targeted Delivery of Financial and Other Subsidies, Benefits and Services) Act, 2016 ("Aadhaar Act") and the regulations made thereunder;
(e) to be financially sound and capable of investing in the necessary infrastructure and measures to ensure secured operations as a requesting entity; and
(f) to implement appropriate safeguards, policies, and procedures to protect Aadhaar related data and ensure privacy of individuals.

(Signature with stamp/seal of authorised signatory)[41]

Name: _____
Full designation: _____

Date: _____
Place: _____

[40] Name of applicant Ministry/Department/secretariat/office/agency/entity
[41] As per KMP or authorized signatory

Annexure 3

(Letter Head of Department/Ministry/Company)

To,

Chief Executive Officer,
Unique Identification Authority of India, (UIDAI)
3rd Floor, Bangla Sahib Road,
Behind Kali Mandir, Gole Market,
New Delhi-110 001

Subject: Undertaking and Declaration for Migration of Existing Requesting Entity to Sub-AUA/Sub-KUA (LITE).

Sir/Madam,

We, [_____[42]], having its registered office at [_____[43]], currently onboarded as _____[44] under the Aadhaar Authentication ecosystem with Pre-Production /Production Code_____[45], hereby submit this Undertaking and Declaration for migration to the Sub-AUA/Sub-KUA (LITE) Framework.

In this regard, we solemnly undertake and declare the following that:

1. We have requested migration of our existing Requesting Entity to the Sub-AUA/Sub-KUA (LITE) category and agree to abide by all applicable provisions, guidelines, circulars, directions, and policies issued by UIDAI from time to time in relation to the Sub-AUA/Sub-KUA (LITE) framework.

2. We understand and acknowledge that onboarding under the Sub-AUA/Sub-KUA (LITE) model is subject to prescribed transaction limits as defined by UIDAI during the stipulated financial year.

3. We hereby expressly undertake that, in the event the authentication transaction volume exceeds the prescribed/defined limit of transactions for a Sub-AUA/Sub-KUA (LITE) within the stipulated financial year, we shall be liable to:

    a) become a Sub-AUA/Sub-KUA and pay applicable license fee;
    b) pay applicable disincentives (if any)

4. We further undertake to comply with any transition requirements, financial obligations, and operational conditions as may be prescribed by UIDAI in this regard.
5. We hereby surrender our existing _____[46] code, in compliance with regulation 23 of the Aadhaar (Authentication and Offline Verification) Regulations, 2021which states that:
    a) the arrangements made by the requesting entity for maintenance and preservation of authentication logs and other documents in accordance with these regulations and procedures as may be specified by the Authority for this purpose;

[42] Name of the Requesting Entity
[43] Address of the Requesting Entity
[44] <Authentication User Agency (AUA)> or< Authentication User Agency (AUA) and e-KYC User Agency (KUA)> or <Sub-AUA> or<Sub-AUA and Sub-KUA> (whichever is applicable)
[45] Please select one option and mention the Pre-production/Production code and strikethrough the other option.
[46] <Authentication User Agency (AUA)> or< Authentication User Agency (AUA) and e-KYC User Agency (KUA)> or <Sub-AUA> or<Sub-AUA and Sub-KUA> (whichever is applicable)

b) the arrangements made by the requesting entity for making authentication record available to the respective Aadhaar number holder on such request;
c) Records of redressal of grievances, if any;
d) Settlement of accounts with the Authority, if any;

6. Further, we ensure to maintain logs of Aadhaar authentication as per Regulation 18 of the Aadhaar (Authentication and Offline Verification) Regulations, 2021.

7. We declare that the information submitted by us for migration is true, complete, and correct to the best of our knowledge and belief, and we shall remain responsible for any consequences arising out of any incorrect declaration or non-compliance.

This undertaking is executed voluntarily and shall remain binding upon us.

Thanking you.

Yours faithfully,

[Name of Entity]

Authorized Signatory[47]
Name: _____
Designation: _____
Official Seal/Stamp: _____

[47] As per KMP or authorized signatory

Annexure 4

(Letter head of the Applicant)

To:

Chief Executive Officer
Unique Identification Authority of India
Bangla Sahib Road, Behind Kali Mandir
Gole Market, New Delhi–110 001

Subject: Undertaking regarding authentication transaction volume

Reference: UIDAI Circular No.9 of 2026 dated 16.9.2026

Sir/Madam,

In terms of the directions issued *vide* above referred UIDAI Circular, it is informed that the estimated number of authentication transactions per annum for _____[48] shall be **≤ Five lakh transactions in any of the financial year after onboarding**.

2. We hereby undertake that, in case transactions of _____[49] onboarded under Sub-AUA/Sub-KUA (LITE) framework exceeds the limit of defined number of transactions in any financial year (FY), then the entity shall be charged full license fees as laid out for a Sub-AUA/Sub-KUA, with effect from the commencement of the financial year for a period of two years as per policy in vogue.

Yours sincerely,

Name of the Authorised Signatory[50]: _____
Designation: _____

Date:
Place:

[48] Please mention name of Sub-AUA/Sub-KUA (LITE)
[49] Please mention name of Sub-AUA/Sub-KUA (LITE)
[50] As per KMP or authorized signatory

Annexure 5

Schema for deploying unique identifiers

All user agencies must embed an identifier as per the details/guidelines mentioned below:

Transaction Identifier Format: The transaction identifier should be of five alphabetic characters, either the scheme name or its prominent initials.

Placement of Identifier: The identifier must be appended at the end of every transaction ID for the respective scheme.

Applicability: This format applies to both demographic (Yes/No) authentication and e-KYC use cases.

Examples:

a) Demographic Authentication (e.g. Pradhan Mantri Awas Yojana, PMAY)

Transaction ID: NIC77 1 6453 145432025-10-29T23:35:23PRMAY

(Here, PRMAY, identifies the Pradhan Mantri Awas Yojana scheme)

b) eKYC (e.g., Jeevan Pramaan Portal)

Transaction ID: UKC :4635 1901192025 I029233449JEPRP

(Here, JEPRP signifies the Jeevan Pramaan scheme)

Annexure 6

Letter Head of Department/Company)

To,

Chief Executive Officer,
Unique Identification Authority of India, (UIDAI)
3rd Floor, Bangla Sahib Road,
Behind Kali Mandir, Gole Market,
New Delhi-110 001

Subject: Request for use of existing Sub-AUA/Sub-KUA (LITE) code [_____[51]] **of** [_____[52]] for implementation of **additional scheme(s)** under [_____[53]].

Sir/Madam,

With reference to the subject cited above, it is hereby submitted that the following scheme(s) of [Name of Department] are proposed to be implemented using existing Sub-AUA/Sub-KUA (LITE) Code of [**Name of Sub-AUA/Sub-KUA (LITE)**] through [**Name of AUA/KUA**].

S No.	Name of Scheme	Notification Number and Date

2. Details of Single Point of contact (SPOC) in respect of the above-mentioned scheme(s) are as under:

KMP Name	KMP Designation	KMP Email	KMP Contact Number	MPOC Name	MPOC Designation	MPOC Email	MPOC Contact Number

3. Unique Transaction Identifier details implemented for the below scheme/service/use-case, as per data schema prescribed *vide* UIDAI Circular No. 1 of 2026 dated 12 January 2026, are as follows:

S No.	Sub-AUA/Sub-KUA (LITE) Code	Scheme/Service/Use-case Name	Unique Transaction Identifier Implemented
1.			
2.			

[51] Mention production code of Sub-AUA/Sub-KUA (LITE)
[52] Mention name of Sub-AUA/Sub-KUA (LITE)
[53] Mention name of AUA/KUA

We hereby undertake and confirm that in the event, the prescribed threshold of 5 lakh authentication transactions in a financial year is exceeded under the Sub-AUA/Sub-KUA (LITE) framework, we shall be liable for payment of the applicable Sub-AUA/Sub-KUA licence fee, along with any applicable disincentives, as prescribed by UIDAI from time to time.

Further, we solemnly affirm and declare that this undertaking is being executed voluntarily and shall remain binding upon us.

Thanking you.

Yours faithfully,

For [_____ [54]]

Authorized Signatory[55]
Name: _____
Designation: _____
Entity Name: _____
Official Seal/Stamp

[54] Mention name of Sub-AUA/Sub-KUA (LITE)
[55] As per KMP or authorized signatory

Annexure 7

Process Flow Diagram:

